# A Syntactic Realization Theorem for Justification Logics

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#### Abstract

Justification logics are refinements of modal logics where modalities are replaced by justification terms. They are connected to modal logics via so-called realization theorems. We present a syntactic proof of a single realization theorem which uniformly connects all the normal modal logics formed from the axioms d, t, b, 4, and 5 with their justification counterparts. The proof employs cut-free nested sequent systems together with Fitting's realization merging technique. We further strengthen the realization theorem for KB5 and S5 by showing that the positive introspection operator is superfluous.

 $\textit{Keywords:} \;\; \text{justification logic, modal logic, realization theorem, nested sequents, positive introspection}$ 

#### 1 Introduction

**Justification logic.** The language of justification logic is a refinement of the language of modal logic. It replaces a single modality by a family of modalities, indexed by what are called *justification terms*. Given a modal formula such as  $\Box A$ , which can be read as A is provable or as A is known, a justification counterpart of that formula of the form t:A can be read as t is a proof of A or as A is known for reason t.

The first justification logic, called the *Logic of Proofs* or LP, was introduced by Artemov [1,2] as a stepping stone for giving an arithmetical semantics for the modal logic S4. Justification logics are also interesting as epistemic logics. Justification terms have a structure and thus provide a measure of how hard it is to obtain knowledge of something. Because of that, justification logics avoid the well-known logical omniscience problem, as Artemov and Kuznets argue in [5].

The formal correspondence between S4 and LP is called a *realization theorem*. It has two directions. First, each provable formula of S4 can be turned into a provable formula of LP by realizing instances of modalities with justification terms. Second and vice versa, if all terms in a provable formula of LP are replaced with modalities, then the resulting modal formula is provable in S4.

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Similar correspondences have been established for several other modal logics besides S4. An overview is given by Artemov in [3].

Methods for proving realization. There are two methods of establishing such correspondences: the syntactic method due to Artemov [1,2] and the semantic method due to Fitting [11]. The syntactic method makes use of cut-free Gentzen systems for modal logics, while the semantic method makes use of a Kripke-style semantics for justification logics. In contrast to the semantic method, the syntactic method is constructive. It provides an algorithm that, for each occurrence of a modality in a given modal formula, computes a justification term that realizes it.

The semantic method was used to prove several realization theorems: for \$4, \$55, \$K45, and \$KD45 [3,11,16]. Constructive realizations, via the syntactic method, are available for \$K\$, \$D\$, \$T\$, \$K4\$, \$D4\$, \$S4\$, and \$S5 [2,4,7,12,13]. In the case of \$55\$, where no cut-free sequent system is available, two approaches have been used: first, a cut-free hypersequent system [4] and, second, an embedding of \$55 into \$K45 [12]\$. This embedding also requires the use of a certain technique of realization merging developed by Fitting in [13]. However, neither approach applies to other modal logics that lack cut-free sequent systems, such as \$K5\$ and \$KB\$. The goal of this paper is to realize these logics and, in general, to provide a uniform constructive method of realizing all normal modal logics formed by the axioms \$d\$, \$t\$, \$b\$, \$4\$, and \$5\$.

**Nested sequents.** To that end, we use the cut-free proof systems given by Brünnler in [9], which are based on *nested sequents* and which capture all these modal logics. Nested sequents are essentially trees of sequents. They naturally generalise both sequents (which are nested sequents of depth zero) and hypersequents (which essentially are nested sequents of depth one). A crucial feature of these proof systems is *deep inference* [8,14] which is the ability to apply inference rules to formulas arbitrarily deep inside a nested sequent.

Outline. The paper is organized as follows. In Section 2 we introduce justification logics, in Section 3 we introduce nested sequent systems, and in Section 4 we recall Fitting's merging technique. We use them in Section 5 to prove our central result: the uniform realization theorem. In particular, this proves Pacuit's conjecture implicit in [15] that J5 is a justification counterpart of K5. It also creates justification counterparts for the modal logics D5, KB, DB, TB, and KB5, which, to our knowledge, did not have justification counterparts before. In Section 6 we go on to show that the operation of positive introspection is not necessary for the realization of KB5 and S5, which leads to new minimal realizations for them.

# 2 Justification Logic

Modal formulas. Modal formulas are given by the grammar

$$A ::= P_i \mid \neg P_i \mid (A \lor A) \mid (A \land A) \mid \Box A \mid \Diamond A$$

where *i* ranges over natural numbers,  $P_i$  denotes a *proposition*, and  $\neg P_i$  denotes its *negation*. Negation of formulas is defined as usual by the De Morgan laws, with  $\neg \neg P_i$  being  $P_i$ . Further,  $A \rightarrow B$  denotes  $\neg A \lor B$  and  $\bot$  denotes  $P_j \land \neg P_j$  for some fixed proposition  $P_j$ .

**Justification formulas.** Justification terms, or terms for short, are given by the grammar

$$t ::= c_i \mid x_i \mid (t \cdot t) \mid (t+t) \mid !t \mid ?t$$
.

The  $c_i$  are called *constants* and the  $x_i$  are called *variables*. The binary operators  $\cdot$  and + are called *application* and *sum* respectively. Application is left-associative. The unary operators ! and ? are called *positive introspection* (or *proof checker*) and *negative introspection* respectively. Terms that do not contain variables are called *ground* and are denoted by p,  $p_1$ ,  $p_2$  and so on, whereas arbitrary terms are denoted by t, t, and t, t, are justification formulas are given by the grammar

$$A ::= P_i \mid \bot \mid (A \to A) \mid t : A \quad .$$

Negation, conjunction, and disjunction are defined as usual. Implication is right-associative and both conjunction and disjunction bind stronger than implication.

Axiom Systems. An axiom system for the modal logic K is assumed to be given. Extensions of system K are obtained by adding modal axioms from Figure 2 as described in Figure 3. The axiom system for the basic justification logic J consists of the axioms and rules given in Figure 1. The AN!-rule is called axiom necessitation with embedded positive introspection. Extensions of system J are obtained by adding justification axioms from Figure 2 as described in Figure 3. The justification axioms are mostly standard, except for jb, which is new. Observe that our choice of the jb-axiom does not increase the set of operations on terms but uses the well-known negative introspection operation. In Section 6 we will see that this is a natural choice. The reason why the zero-premise AN!-rule is defined as a rule and not as an axiom is to prevent it from referring to itself. We will often use the name of an axiom system to also denote its logic, which is its set of provable formulas.

From this point on by a *justification logic* we mean (the logic of) either system J or one of its extensions. Likewise, by a *modal logic* we mean either system K or one of its extensions. Each justification logic has a *corresponding* modal logic, and vice versa, as shown in Figure 3, with J corresponding to the modal logic K.

**Remark 2.1** Traditionally, the axiomatizations of justification logics that contain the j4-axiom had the following axiom necessitation rule, which is a simpler variant of the AN!-rule:

$$AN = \frac{A \ is \ an \ axiom \ instance}{c_i : A}$$
 .

Since in these systems the AN!-rule is derivable, our axiomatizations produce the same logics.

Clearly, we can turn justification formulas into modal formulas by replacing terms with boxes, which is made formal in the next definition.

**Definition 2.2 (Forgetful projection)** Given a justification formula A, its forgetful projection  $A^{\circ}$  is defined as:  $P_i^{\circ} := P_i$ ,  $\bot^{\circ} := \bot$ ,  $(A \to B)^{\circ} := A^{\circ} \to B^{\circ}$ , and  $(t:A)^{\circ} := \Box A^{\circ}$ . The forgetful projection of a set of justification formulas is defined in the obvious way.

An important fact about justification logics is that they can internalize their

taut: A fixed complete set of propositional axioms

app: 
$$s:(A \rightarrow B) \rightarrow t:A \rightarrow (s \cdot t):B$$

sum: 
$$s: A \rightarrow (s+t): A$$
 and  $s: A \rightarrow (t+s): A$ 

$$\operatorname{MP} \frac{A \quad A \to B}{B} \qquad \qquad \operatorname{AN!} \underbrace{\frac{A \text{ is an axiom instance}}{!! \dots !} c_i : \dots : !!! c_i : ! c_i : c_i : A}_{\geq 0}$$

Fig. 1. The axiom system for the basic justification logic  ${\sf J}$ 

$$t : \bot \to \bot$$
 Jt.  $t : A \to A$  Jb.  $A \to : A$ 

4: 
$$\Box A \rightarrow \Box \Box A$$
 5:  $\neg \Box A \rightarrow \Box \neg \Box A$  j4:  $t: A \rightarrow ! t: t: A$  j5:  $\neg t: A \rightarrow ? t: (\neg t: A)$ 

Fig. 2. Modal axioms and their corresponding justification axioms

D	Т	KB	K4	K5	DB	D4	D5	ТВ	K45	S4	KB5	D45	S5
d	t	b	4	5	d, b	d, 4	d, 5	t, b	4,5	t, 4	b, 4, 5	d, 4, 5	t, 4, 5
JD	JT	JB	J4	J5	JDB	JD4	JD5	JTB	J45	LP	JB45	JD45	JT45
jd	jt	jb	j4	j5	jd, jb	jd, j4	jd, j5	jt, jb	j4, j5	jt, j4	jb, j4, j5	jd, j4, j5	jt, j4, j5

Fig. 3. Axiom systems of modal logic and of justification logic

own proofs, i.e. if A is provable, then so is t:A for some term t. This is formally stated in the lemma below. A detailed proof can be found in Artemov [2].

Lemma 2.3 (Internalization) For any justification logic JL, if

$$\mathsf{JL} \vdash A_1 \to \ldots \to A_n \to B$$
,

then there exists a term  $t(x_1, \ldots, x_n)$  such that for all terms  $s_1, \ldots, s_n$ 

$$\mathsf{JL} \vdash s_1 : A_1 \to \ldots \to s_n : A_n \to t(s_1, \ldots, s_n) : B$$
.

Note that t is ground if n = 0.

## 3 The Nested Sequent Calculus

Nested sequents. Nested sequents, or sequents for short, are inductively defined as follows: the empty sequence  $\emptyset$  is a nested sequent; if  $\Sigma$  and  $\Delta$  are nested sequents and A is a formula, then  $\Sigma$ , A and  $\Sigma$ ,  $[\Delta]$  are nested sequents, where the comma denotes concatenation of sequences. The brackets in the expression  $[\Delta]$  are called structural box. The corresponding formula of a sequent  $\Gamma$ , denoted  $\underline{\Gamma}$ , is inductively defined by  $\underline{\emptyset} := \bot$ ,  $\underline{\Sigma}$ ,  $\underline{A} := \underline{\Sigma} \vee A$ , and  $\underline{\Sigma}$ ,  $[\underline{\Delta}] := \underline{\Sigma} \vee \Box \underline{\Delta}$ . For simplicity we often do not explicitly distinguish between a sequent and its corresponding formula. We use the letters  $\Gamma$ ,  $\Delta$ ,  $\Lambda$ ,  $\Omega$ ,  $\Pi$ , and  $\Sigma$  to denote sequents.

**Sequent contexts.** A sequent context, or context for short, is a sequent with (exactly) one occurrence of the symbol  $\{\ \}$ , called a *hole*, which does not occur inside formulas. Contexts are denoted by  $\Gamma\{\ \}$ . An inductive definition can be

BRÜNNLER, GOETSCHI, AND KUZNETS

$$\begin{split} &\operatorname{id} \frac{}{\Gamma\{P_i, \neg P_i\}} & \vee \frac{\Gamma\{A, B\}}{\Gamma\{A \vee B\}} & \wedge \frac{\Gamma\{A\} - \Gamma\{B\}}{\Gamma\{A \wedge B\}} \\ &\operatorname{ctr} \frac{\Gamma\{A, A\}}{\Gamma\{A\}} & \operatorname{exch} \frac{\Gamma\{\Delta, \Sigma\}}{\Gamma\{\Sigma, \Delta\}} & \Box \frac{\Gamma\{[A]\}}{\Gamma\{\Box A\}} & \operatorname{k} \frac{\Gamma\{[A, \Delta]\}}{\Gamma\{\Diamond A, [\Delta]\}} \\ &\operatorname{d} \frac{\Gamma\{[A]\}}{\Gamma\{\Diamond A\}} & \operatorname{t} \frac{\Gamma\{A\}}{\Gamma\{\Diamond A\}} & \operatorname{b} \frac{\Gamma\{[\Delta], A\}}{\Gamma\{[\Delta, \Diamond A]\}} & \operatorname{4} \frac{\Gamma\{[\Diamond A, \Delta]\}}{\Gamma\{\Diamond A, [\Delta]\}} \\ &\operatorname{5a} \frac{\Gamma\{[\Delta], \Diamond A\}}{\Gamma\{[\Delta, \Diamond A]\}} & \operatorname{5b} \frac{\Gamma\{[\Delta], [\Pi, \Diamond A]\}}{\Gamma\{[\Delta, \Diamond A], [\Pi]\}} & \operatorname{5c} \frac{\Gamma\{[\Delta, [\Pi, \Diamond A]]\}}{\Gamma\{[\Delta, \Diamond A, [\Pi]]\}} \end{split}$$

Fig. 4. Rules of the nested sequent calculus

given as follows:  $\{\}$  is a context and if  $\Sigma\{\}$  is a context, then so are  $[\Sigma\{\}]$  and  $\Delta, \Sigma\{\}, \Pi$ , where  $\Delta$  and  $\Pi$  are sequents. The sequent  $\Gamma\{\Delta\}$  is obtained by replacing the hole in  $\Gamma\{\}$  with  $\Delta$ . For example, if  $\Gamma\{\} = A, [[B], \{\}]$  and  $\Delta = C, [D]$ , then  $\Gamma\{\Delta\} = A, [[B], C, [D]]$ .

**Sequent systems.** Consider the inference rules in Figure 4. System SK consists of the rules id,  $\vee$ ,  $\wedge$ , ctr, exch,  $\square$ , and k. Extensions of system SK are obtained by adding further rules from Figure 4 according to Figure 3, where 5 means that all three rules 5a, 5b, and 5c are added. Note that a name in the first row of Figure 3 now denotes both a (Hilbert-style) axiom system and a sequent system.

These sequent systems are essentially the same as the ones in [9], where their completeness is proved, so we have the following theorem.

**Theorem 3.1 (Completeness)** System SK and its extensions are sound and complete with respect to their corresponding modal logics (as defined by the corresponding axiom systems).

### 4 Annotations and Realizations

Our goal is to turn provable formulas of a given modal logic into provable formulas of the corresponding justification logic by replacing boxes with terms and diamonds with variables. In order to do so we use *annotations*, which are indices on modalities. Annotations have no semantical meaning but allow us to keep track of occurrences of modal operators. We adopt Fitting's notation from [13].

**Definition 4.1 (Annotations)** Annotated modal formulas, or annotated formulas for short, are built according to the grammar

$$A ::= P_i \mid \neg P_i \mid (A \lor A) \mid (A \land A) \mid \square_{2k+1} A \mid \lozenge_{2l} A$$

where i, k, and l range over natural numbers. An annotated sequent (context) is a sequent (context) in which only annotated formulas occur and all structural boxes are annotated by odd indices. The corresponding annotated formula of an annotated sequent  $\Gamma$  is defined in the obvious way, with  $\Sigma, [\Delta]_k := \underline{\Sigma} \vee \Box_k \underline{\Delta}$ .

$$\begin{split} \operatorname{id} \frac{\Gamma\{A,B\}}{\Gamma\{A,A_B\}} & \wedge \frac{\Gamma\{A\} \quad \Gamma\{B\}}{\Gamma\{A \wedge B\}} \\ \operatorname{ctr} \frac{\Gamma\{A_1,A_2\}}{\Gamma\{A_3\}} & \operatorname{exch} \frac{\Gamma\{\Delta,\Sigma\}}{\Gamma\{\Sigma,\Delta\}} & \Box \frac{\Gamma\{[A]_k\}}{\Gamma\{\Box_k A\}} & \operatorname{k} \frac{\Gamma\{[A,\Delta]_k\}}{\Gamma\{\Diamond_{2m} A, [\Delta]_i\}} \\ \operatorname{d} \frac{\Gamma\{[A]_k\}}{\Gamma\{\Diamond_{2m} A\}} & \operatorname{t} \frac{\Gamma\{A\}}{\Gamma\{\Diamond_{2m} A\}} & \operatorname{b} \frac{\Gamma\{[\Delta]_k,A\}}{\Gamma\{[\Delta,\Diamond_{2m} A]_i\}} & \operatorname{d} \frac{\Gamma\{[\Delta]_k,A\}}{\Gamma\{\Diamond_{2m} A, [\Delta]_i\}} \\ \operatorname{5a} \frac{\Gamma\{[\Delta]_k,\Diamond_{2m} A\}}{\Gamma\{[\Delta,\Diamond_{2m} A]_i\}} & \operatorname{5b} \frac{\Gamma\{[\Delta]_k, [\Pi,\Diamond_{2m} A]_i\}}{\Gamma\{[\Delta,\Diamond_{2m} A]_l, [\Pi]_i\}} & \operatorname{5c} \frac{\Gamma\{[\Delta,[\Pi,\Diamond_{2m} A]_i]_k\}}{\Gamma\{[\Delta,\Diamond_{2m} A, [\Pi]_i]_l\}} \end{split}$$

Fig. 5. Annotated rules of the nested sequent calculus

If A is a modal formula that is obtained from an annotated formula A' by dropping all indices on its modalities, then we call A' an annotated version of A, and likewise for sequents. An annotated formula or sequent is called properly annotated if no index occurs twice in it. From now on we will always assume that an annotated formula or sequent is properly annotated, unless stated otherwise.

**Remark 4.2** Since our modal formulas are in negation normal form, in contrast to [13] every subformula of a properly annotated formula is itself properly annotated.

**Definition 4.3 (Annotated rule instance)** An annotated rule instance is any instance of a rule in Figure 5 provided that its conclusion and each of its premises are properly annotated sequents and, in case of the ctr-rule, additionally  $A_1$ ,  $A_2$ , and  $A_3$  do not share indices and are annotated versions of the same modal formula. An annotated proof is built as usual from annotated rule instances.

**Remark 4.4** Note that we do not define the negation of an annotated formula. The obvious definition, where  $\neg \Box_k A$  is  $\diamondsuit_k \neg A$ , does not work because it does not produce an annotated formula. In particular, this prevents us from even formulating a cutrule for annotated sequents.

**Lemma 4.5 (Annotating Proofs)** For each sequent calculus proof  $\mathcal{P}$  there exists an annotated proof  $\mathcal{P}'$  that is an annotated version of  $\mathcal{P}$ , meaning that  $\mathcal{P}$  can be obtained from  $\mathcal{P}'$  by dropping all annotations.

**Proof.** We take  $\mathcal{P}$ , replace the endsequent with a properly annotated version of it, and straightforwardly propagate the annotations upwards.

Now we can define realizations as functions from natural numbers to terms, with the restriction that even numbers are mapped to variables. This restriction is often called the *normality condition*.

**Definition 4.6 (Realization function)** A realization function r is a partial mapping from natural numbers to terms such that if r(2i) is defined, then  $r(2i) = x_i$ . A realization function on a given annotated formula (sequent) is one that is defined on all indices of that formula (sequent).

$$P_i^r := P_i \qquad (A \lor B)^r := A^r \lor B^r \quad (\diamondsuit_{2l}A)^r := \neg r(2l) : \neg A^r = \neg x_l : \neg A^r$$
$$\neg P_i^r := \neg P_i \quad (A \land B)^r := A^r \land B^r \quad (\square_{2k+1}A)^r := r(2k+1) : A^r$$

Fig. 6. Realization of a formula

**Definition 4.7 (Realization)** If A is an annotated formula and r is a realization function on it, then the justification formula  $A^r$  is inductively defined as in Figure 6. Given an annotated sequent  $\Gamma$ , we define  $\Gamma^r$  as  $\underline{\Gamma}^r$ .

We introduce some notation for stating restrictions on realization functions.

**Definition 4.8** (diavars(A),  $r \upharpoonright A$ ) Given an annotated formula A, we define

$$\operatorname{diavars}(A) := \{ x_k \mid \Diamond_{2k} \ occurs \ in \ A \}$$
$$r \upharpoonright A := r \upharpoonright \{ i \mid i \ occurs \ in \ A \} .$$

where  $f \upharpoonright S$  is the restriction of the partial function f to the set S.

The next definition is mostly standard, see, e.g., Baader and Nipkow [6].

**Definition 4.9 (Substitution)** A substitution, denoted by  $\sigma$ , is a total mapping from variables to terms. If  $\sigma$  is a substitution, then  $\widetilde{\sigma}$  is the function that maps terms to terms and formulas to formulas by simultaneously replacing each occurrence of a variable x with the term  $\sigma(x)$ . The domain of  $\sigma$  is  $dom(\sigma) := \{x \mid \sigma(x) \neq x\}$ , the range of  $\sigma$  is  $range(\sigma) := \{\sigma(x) \mid x \in dom(\sigma)\}$ , and the variable range of  $\sigma$ , denoted by  $range(\sigma)$ , is the set of variables that occur in terms in  $range(\sigma)$ . We write to and  $range(\sigma)$  and  $range(\sigma)$  and  $range(\sigma)$  we write  $range(\sigma)$  and  $range(\sigma)$  and  $range(\sigma)$  we also write  $range(\sigma)$  where function composition is as usual, namely  $(f_2 \circ f_1)(n) = f_2(f_1(n))$ .

The following lemma is standard.

**Lemma 4.10 (Substitution)** If  $JL \vdash A$  for a justification logic JL, then

- (i)  $JL \vdash A\sigma \text{ for any substitution } \sigma \text{ and }$
- (ii) JL  $\vdash A[P_i \mapsto B]$ , where  $A[P_i \mapsto B]$  is the result of simultaneously replacing each occurrence of the proposition  $P_i$  with the formula B.

The following immediate facts are used in many of the proofs that follow.

### Lemma 4.11 (Facts about Substitutions and Realization Functions)

- (i)  $\sigma \circ r$  is a realization function iff  $x_n \notin \text{dom}(\sigma)$  whenever r(2n) is defined.
- (ii)  $A^r \sigma = A^{\sigma \circ r}$ .
- (iii) If  $dom(r_1) \cap dom(r_2) \subseteq \{n \mid n \text{ is even}\}$ , then  $r_1 \cup r_2$  is a realization function.
- (iv) If  $dom(\sigma_1) \cap dom(\sigma_2) = \emptyset$ , then  $\sigma_1 \cup \sigma_2$  is a substitution.
- (v) If  $\sigma \circ r$  is a realization function, then  $dom(\sigma \circ r) = dom(r)$ .
- (vi) If  $r_1 \cup r_2$  is a realization function, then  $dom(r_1 \cup r_2) = dom(r_1) \cup dom(r_2)$ .
- (vii) If  $\sigma_1 \cup \sigma_2$  is a substitution, then  $dom(\sigma_1 \cup \sigma_2) = dom(\sigma_1) \cup dom(\sigma_2)$ .
- (viii)  $dom(\sigma_2 \circ \sigma_1) \subseteq dom(\sigma_1) \cup dom(\sigma_2)$ .

The following realization merging theorem is essentially Theorem 8.2 in Fitting [13]. There it is formulated for LP but the proof only makes use of the oper-

ations + and  $\cdot$  and the Internalization Lemma. Hence, the theorem also holds for all justification logics we consider.

**Theorem 4.12 (Realization Merging)** Let JL be a justification logic, A be a properly annotated formula, and  $r_1$  and  $r_2$  be realization functions on A. Then there exist a realization function r on A and a substitution  $\sigma$  such that: 1) for any x the term  $\sigma(x)$  contains no variable other than x, 2) dom $(\sigma) \subseteq \text{diavars}(A)$ ,

3) 
$$JL \vdash A^{r_1}\sigma \rightarrow A^r$$
, and 4)  $JL \vdash A^{r_2}\sigma \rightarrow A^r$ .

(Note that it is not assumed that  $A^{r_1}$  or  $A^{r_2}$  are provable.)

The next lemma is needed because in general the formula  $\underline{\Gamma}, \underline{\Sigma}$  does not coincide with the formula  $\underline{\Gamma} \vee \underline{\Sigma}$ .

**Lemma 4.13 (Associativity of Disjunction)** For any sequents  $\Gamma$  and  $\Sigma$  and for any realization function r, we have  $J \vdash (\Sigma, \Gamma)^r \leftrightarrow \underline{\Sigma}^r \vee \underline{\Gamma}^r$ .

### 5 The Realization Theorem

To prove the realization theorem for all justification logics, we first prove separate lemmas corresponding to sequent calculus rules.

**Lemma 5.1** (id-rule) Given an annotated id-instance as in Figure 5, there exists a realization function r on its conclusion  $\Omega$  such that  $J \vdash \Omega^r$ .

**Proof.** By induction on the build-up of  $\Gamma\{\}$ . Case  $\Gamma\{\}$  =  $\{\}$ . The empty realization function suffices. **Induction step.** By induction hypothesis, there exists a realization function  $r_1$  on  $\Sigma\{P_i, \neg P_i\}$  such that  $J \vdash \Sigma\{P_i, \neg P_i\}^{r_1}$ .

Case  $\Gamma\{\} = [\Sigma\{\}]_k$ . By the Internalization Lemma there exists a ground term p such that  $J \vdash p : \Sigma\{P_i, \neg P_i\}^{r_1}$ . Since  $[\Sigma\{P_i, \neg P_i\}]_k$  is properly annotated,  $r := (r_1 \upharpoonright \Sigma\{P_i, \neg P_i\}) \cup \{(k, p)\}$  is a realization function on  $[\Sigma\{P_i, \neg P_i\}]_k$  by Lemma 4.11. It follows that  $J \vdash ([\Sigma\{P_i, \neg P_i\}]_k)^r$ .

Case  $\Gamma\{\} = \Delta, \Sigma\{\}, \Pi$ . Let r be a realization function on  $\Delta, \Sigma\{P_i, \neg P_i\}, \Pi$  that extends  $r_1 \upharpoonright \Sigma\{P_i, \neg P_i\}$ . Then  $J \vdash \Delta^r \lor \Sigma\{P_i, \neg P_i\}^r \lor \Pi^r$  and, by Lemma 4.13,  $J \vdash (\Delta, \Sigma\{P_i, \neg P_i\}, \Pi)^r$ .

**Lemma 5.2** ( $\land$ -rule) Given an annotated  $\land$ -instance as in Figure 5, let  $r_1$  and  $r_2$  be realization functions on its premises  $\Lambda_1$  and  $\Lambda_2$  respectively. Then there exist a realization function r on its conclusion  $\Omega$  and a substitution  $\sigma$  with  $dom(\sigma) \subseteq diavars(\Lambda_1) \cup diavars(\Lambda_2) = diavars(\Omega)$  such that  $J \vdash (\Lambda_1)^{r_1} \sigma \to (\Lambda_2)^{r_2} \sigma \to \Omega^r$ .

**Proof.** By induction on the build-up of  $\Gamma\{\}$ . Case  $\Gamma\{\} = \{\}$ . Let  $\sigma$  be the identity substitution and let  $r := (r_1 \upharpoonright A) \cup (r_2 \upharpoonright B)$ . The latter is a realization function by Lemma 4.11 because  $A \land B$  is properly annotated. Therefore,  $A^{r_1} \land B^{r_2} = (A \land B)^r$  and  $J \vdash A^{r_1}\sigma \to B^{r_2}\sigma \to (A \land B)^r$  because it is a propositional tautology. **Induction step.** By induction hypothesis there exist a realization function r' on  $\Sigma\{A \land B\}$  and a substitution  $\sigma'$  with  $\operatorname{dom}(\sigma') \subseteq \operatorname{diavars}(\Sigma\{A \land B\})$  such that

$$\mathsf{J} \vdash \Sigma \{A\}^{r_1} \sigma' \to \Sigma \{B\}^{r_2} \sigma' \to \Sigma \{A \land B\}^{r'} \ . \tag{1}$$

Case  $\Gamma\{\} = [\Sigma\{\}]_k$ . By the Internalization Lemma,

$$\mathsf{J} \vdash r_1(k)\sigma' : (\Sigma\{A\}^{r_1}\sigma') \to r_2(k)\sigma' : (\Sigma\{B\}^{r_2}\sigma') \to t(r_1(k)\sigma', r_2(k)\sigma') : \Sigma\{A \land B\}^{r'}$$

for some term t(x,y). In other words,

$$\mathsf{J} \vdash ([\Sigma\{A\}]_k)^{r_1} \sigma' \to ([\Sigma\{B\}]_k)^{r_2} \sigma' \to ([\Sigma\{A \land B\}]_k)^r$$

for  $r := (r' \upharpoonright \Sigma \{A \land B\}) \cup \{(k, t(r_1(k)\sigma', r_2(k)\sigma')\}$ , which by Lemma 4.11 is a realization function on the properly annotated sequent  $[\Sigma \{A \land B\}]_k$ .

Case  $\Gamma\{\ \} = \Delta, \Sigma\{\ \}, \Pi$ . Since  $\Delta, \Sigma\{A \land B\}, \Pi$  is properly annotated,  $\Sigma\{A \land B\}$  shares no indices with  $\Delta, \Pi$ . Thus, by Lemma 4.11, both  $\sigma' \circ (r_1 \upharpoonright \Delta, \Pi)$  and  $\sigma' \circ (r_2 \upharpoonright \Delta, \Pi)$  are realization functions on  $\Delta, \Pi$ . By Theorem 4.12 (Realization Merging) there exist a realization function  $r_m$  on  $\Delta, \Pi$  and a substitution  $\sigma_m$  with  $\operatorname{dom}(\sigma_m) \subseteq \operatorname{diavars}(\Delta, \Pi)$  such that

$$\mathsf{J} \vdash (\Delta, \Pi)^{\sigma' \circ (r_1 \upharpoonright \Delta, \Pi)} \sigma_m \to (\Delta, \Pi)^{r_m} , \qquad (2)$$

$$\mathsf{J} \vdash (\Delta, \Pi)^{\sigma' \circ (r_2 \upharpoonright \Delta, \Pi)} \sigma_m \to (\Delta, \Pi)^{r_m} , \qquad (3)$$

and, for any variable x, it is the only variable in  $\sigma_m(x)$ . By Lemma 4.11 we have  $(\Delta, \Pi)^{\sigma' \circ (r_1 \upharpoonright \Delta, \Pi)} \sigma_m = (\Delta, \Pi)^{r_1} \sigma' \sigma_m$  and  $(\Delta, \Pi)^{\sigma' \circ (r_2 \upharpoonright \Delta, \Pi)} \sigma_m = (\Delta, \Pi)^{r_2} \sigma' \sigma_m$ . Therefore, (2) and (3) are identical to

$$\mathsf{J} \vdash (\Delta, \Pi)^{r_1} \sigma' \sigma_m \to (\Delta, \Pi)^{r_m} , \qquad (4)$$

$$\mathsf{J} \vdash (\Delta, \Pi)^{r_2} \sigma' \sigma_m \to (\Delta, \Pi)^{r_m} . \tag{5}$$

From (1) by Lemma 4.10 (Substitution) it follows that

$$\mathsf{J} \vdash \Sigma \{A\}^{r_1} \sigma' \sigma_m \to \Sigma \{B\}^{r_2} \sigma' \sigma_m \to \Sigma \{A \land B\}^{r'} \sigma_m \ .$$

From this, (4), and (5) it follows by propositional reasoning that

$$\mathsf{J} \vdash \Sigma \{A\}^{r_1} \sigma' \sigma_m \vee (\Delta, \Pi)^{r_1} \sigma' \sigma_m \to \Sigma \{B\}^{r_2} \sigma' \sigma_m \vee (\Delta, \Pi)^{r_2} \sigma' \sigma_m \\
\to \Sigma \{A \wedge B\}^{r'} \sigma_m \vee (\Delta, \Pi)^{r_m} . (6)$$

Since  $\operatorname{dom}(\sigma_m) \subseteq \operatorname{diavars}(\Delta, \Pi)$ , it follows by Lemma 4.11 that  $\sigma_m \circ (r' \upharpoonright \Sigma \{A \land B\})$  is a realization function on  $\Sigma \{A \land B\}$ . Again by Lemma 4.11, we conclude that  $r := (\sigma_m \circ (r' \upharpoonright \Sigma \{A \land B\})) \cup (r_m \upharpoonright \Delta, \Pi)$  is a realization function on  $\Delta, \Sigma \{A \land B\}, \Pi$ . And since  $\Sigma \{A \land B\}^{r' \upharpoonright \Sigma \{A \land B\}} \sigma_m = \Sigma \{A \land B\}^{\sigma_m \circ (r' \upharpoonright \Sigma \{A \land B\})}$  by Lemma 4.11, we can rewrite (6) as

$$\mathsf{J} \vdash \big(\Sigma\{A\} \lor (\Delta,\Pi)\big)^{r_1}\sigma \ \to \ \big(\Sigma\{B\} \lor (\Delta,\Pi)\big)^{r_2}\sigma \ \to \ \big(\Sigma\{A \land B\} \lor (\Delta,\Pi)\big)^r \qquad (7)$$

for  $\sigma := \sigma_m \circ \sigma'$  with  $\operatorname{dom}(\sigma) \subseteq \operatorname{dom}(\sigma') \cup \operatorname{dom}(\sigma_m) \subseteq \operatorname{diavars}(\Delta, \Sigma\{A \wedge B\}, \Pi)$ . Finally, (7) is by Lemma 4.13 propositionally equivalent to

$$\mathsf{J} \vdash (\Delta, \Sigma \{A\}, \Pi)^{r_1} \sigma \rightarrow (\Delta, \Sigma \{B\}, \Pi)^{r_2} \sigma \rightarrow (\Delta, \Sigma \{A \land B\}, \Pi)^r .$$

The proof of the following lemma is in Appendix A.

**Lemma 5.3** (ctr-rule) Given an annotated ctr-instance as in Figure 5, let  $r_1$  be a realization function on its premise  $\Lambda$ . Then there exist 1) a realization function r on its conclusion  $\Omega$  and 2) a substitution  $\sigma$  with  $dom(\sigma) \subseteq diavars(\Lambda)$  such that  $J \vdash \Lambda^{r_1} \sigma \to \Omega^r$ .

**Lemma 5.4** ( $\vee$ - and exch-rule) Given an annotated  $\rho$ -instance with  $\rho \in {\{\vee, exch\}}$  as in Figure 5, let  $r_1$  be a realization function on its premise  $\Lambda$ . Then there exists a realization function r on its conclusion  $\Omega$  such that  $J \vdash \Lambda^{r_1} \to \Omega^r$ .

**Proof.** By induction on the build-up of  $\Gamma\{\}$ . In the base case  $\Gamma\{\} = \{\}$  it suffices to take  $r := r_1 \upharpoonright \Omega$  for either rule. Indeed,  $\underline{A}, \underline{B} = A \lor B = \underline{A} \lor \underline{B}$  for  $\rho = \lor$ . For  $\rho = \text{exch}$ , the desired statement follows from Lemma 4.13. The arguments for the induction steps are the same as in the proof of Lemma 5.3, given in Appendix A, except that here the substitution is the identity substitution.

**Lemma 5.5** (k-rule) Given an annotated k-instance as in Figure 5, let  $r_1$  be a realization function on its premise  $\Lambda$ . Then there exists a realization function r on its conclusion  $\Omega$  such that  $J \vdash \Lambda^{r_1} \to \Omega^r$ .

**Proof.** By induction on the build-up of  $\Gamma\{\}$ . Case  $\Gamma\{\}=\{\}$ . For the propositional tautology  $(A, \Delta)^{r_1} \to \neg A^{r_1} \to \Delta^{r_1}$ , by the Internalization Lemma there exists a term t(x,y) such that  $J \vdash r_1(k) : (A, \Delta)^{r_1} \to x_m : \neg A^{r_1} \to t(r_1(k), x_m) : \Delta^{r_1}$ . It follows by propositional reasoning that

$$\mathsf{J} \vdash r_1(k) : (A, \Delta)^{r_1} \to \neg x_m : \neg A^{r_1} \lor t(r_1(k), x_m) : \Delta^{r_1} , \text{ which is}$$

$$\mathsf{J} \vdash ([A, \Delta]_k)^{r_1} \to (\diamondsuit_{2m}A)^{r_1} \lor t(r_1(k), x_m) : \Delta^{r_1} .$$

For  $r := (r_1 \upharpoonright A, \Delta) \cup \{(i, t(r_1(k), x_m)), (2m, x_m)\}$  this is identical to

$$\mathsf{J} \vdash \big([A,\Delta]_k\big)^{r_1} \to (\diamondsuit_{2m}A, [\Delta]_i)^r .$$

The induction steps are the same as in Lemma 5.4.

In order to realize the modal rules 5b and 5c, we will use realizations of theorems  $\Box(\Box A \to A)$  and  $\Box(\neg\Box\Box A \to \neg\Box A)$  of K5. They are provided by the following two auxiliary lemmas. We have to omit the proofs for space reasons.

**Lemma 5.6 (Internalized Factivity)** There is a term t(x) such that for any term s and any formula A we have that  $J5 \vdash t(s) : (s : A \rightarrow A)$ .

**Lemma 5.7 (Internalized Positive Introspection)** There are terms  $t_1(x)$  and  $t_2(x)$  such that  $J5 \vdash t_1(t) : (\neg t_2(t) : t : A \rightarrow \neg t : A)$  for any term t and any formula A.

Proofs require further work before inclusion into the paper

**Proof.** Since  $\neg x: P \to ?x: \neg x: P$  is an instance of j5, by the Internalization Lemma there exists a ground term  $p_1$  such that  $\mathsf{J5} \vdash p_1: (\neg x: P \to ?x: \neg x: P)$ . By Lemma ?? and  $\mathsf{MP}$ ,

$$\mathsf{J5} \vdash \neg(\mathsf{dd}(p_1) \cdot ??x) : \neg \neg x : P \rightarrow \neg ??x : \neg ?x : \neg x : P . \tag{8}$$

By a tautology  $\neg \neg Q \rightarrow Q$  and the Internalization and Substitution Lemmas, there exists a term  $s_2(x)$  such that  $\mathsf{J5} \vdash (\mathsf{dd}(p_1) \cdot ??t) : \neg \neg t : A \rightarrow s_2(\mathsf{dd}(p_1) \cdot ??t) : t : A$ . From (8) by propositional reasoning  $\mathsf{J5} \vdash \neg s_2(\mathsf{dd}(p_1) \cdot ??t) : t : A \rightarrow \neg ??t : \neg ?t : \neg t : A$ . Then, for a tautology by the Internalization and Substitution Lemmas, there exists a ground term  $p_2$  such that

$$\mathsf{J5} \vdash p_2 : (\neg s_2(\mathrm{dd}(p_1) \cdot ??t) : t : A \to \neg ??t : \neg ?t : \neg ?t : A) . \tag{9}$$

By the Internalization Lemma and the contrapositive of  $\neg ?t: \neg t: A \rightarrow ??t: \neg ?t: \neg t: A$  (j5) there exists a ground term  $p_3$  s.t.

$$\mathsf{J5} \vdash p_3 : (\neg ? ? t : \neg ? t : \neg t : A \to ? t : \neg t : A) . \tag{10}$$

By Lemma 5.6 there exists a term  $s_3(x)$  with all variables indicated such that

$$\mathsf{J5} \vdash s_3(?t) : (?t : \neg t : A \to \neg t : A) . \tag{11}$$

By (10), (11) and Lemma ?? (Syllogism) there exists a term  $s_4(x,y)$  with all variables indicated such that

$$\mathsf{J5} \vdash s_4(p_3, s_3(?t)) : (\neg ? ? t : \neg ? t : \neg t : A \to \neg t : A) . \tag{12}$$

Similarly, by (9), (12) and Lemma ?? there exists a term  $s_5(x,y)$  such that

$$s_5(p_2, s_4(p_3, s_3(?t))) : (\neg s_2(dd(p_1) \cdot ??t) : t : A \rightarrow \neg t : A)$$
.

Hence the desired terms are  $t_1(t) = s_5(p_2, s_4(p_3, s_3(?t)))$  and  $t_2(t) = s_2(\mathrm{dd}(p_1) \cdot ??t)$ .

The following lemma covers the remaining rules.

**Lemma 5.8 (Modal Rules)** Given an annotated  $\rho$ -instance with  $\rho \in \{d, t, b, 4, 5a, 5b, 5c\}$  as given in Figure 5, let  $r_1$  be a realization function on its premise  $\Lambda$ . Then there is a realization function r on its conclusion  $\Omega$  such that  $J\rho \vdash \Lambda^{r_1} \to \Omega^r$ , where by Jd we mean JD, and so on, except for  $\rho \in \{5a, 5b, 5c\}$  where we mean J5.

**Proof.** By induction on the build-up of  $\Gamma\{\}$ . For the base case  $\Gamma\{\}=\{\}$  we need to consider each rule  $\rho$  in turn.

Subcases  $\rho = \mathsf{d}, \mathsf{t}, \mathsf{4}$  are similar to the k-rule and are omitted for space reasons. Subcase  $\rho = \mathsf{b}$ . Since  $\Delta^{r_1} \to \Delta^{r_1} \vee \neg x_m : \neg A^{r_1}$  is a propositional tautology, by the

Internalization Lemma there exists a term  $t_1(y)$  such that

$$\mathsf{JB} \vdash r_1(k) : \Delta^{r_1} \to t_1(r_1(k)) : (\Delta^{r_1} \vee \neg x_m : \neg A^{r_1}) . \tag{13}$$

Similarly, for a propositional tautology  $\neg x_m : \neg A^{r_1} \to \Delta^{r_1} \lor \neg x_m : \neg A^{r_1}$ , there exists a term  $t_2(x)$  such that

$$\mathsf{JB} \vdash ?x_m : \neg x_m : \neg A^{r_1} \to t_2(?x_m) : (\Delta^{r_1} \vee \neg x_m : \neg A^{r_1}) . \tag{14}$$

It follows from (13) and (14) by axiom sum and propositional reasoning that

$$\mathsf{JB} \vdash r_1(k) : \Delta^{r_1} \lor ?x_m : \neg x_m : \neg A^{r_1} \to t : (\Delta^{r_1} \lor \neg x_m : \neg A^{r_1})$$

for  $t := t_1(r_1(k)) + t_2(?x_m)$ . Finally, from an instance  $A^{r_1} \to ?x_m : \neg x_m : \neg A^{r_1}$  of axiom jb it follows that  $\mathsf{JB} \vdash r_1(k) : \Delta^{r_1} \vee A^{r_1} \to t : (\Delta^{r_1} \vee \neg x_m : \neg A^{r_1})$ . Hence the desired realization function is  $r := (r_1 \upharpoonright \Delta, A) \cup \{(i, t), (2m, x_m)\}$ .

**Subcases**  $\rho = 5a, 5c$  can be found in Appendix B.

**Subcase**  $\rho = 5b$ . By Lemma 5.7 there exist terms  $t_1(x)$  and  $t_2(x)$  such that  $J5 \vdash t_1(x_m) : (\neg t_2(x_m) : x_m : \neg A^{r_1} \to \neg x_m : \neg A^{r_1})$ . Thus, by app and MP,

$$\mathsf{J5} \vdash ? \, t_2(x_m) : \neg t_2(x_m) : x_m : \neg A^{r_1} \to (t_1(x_m) \cdot ? \, t_2(x_m)) : \neg x_m : \neg A^{r_1} .$$

From an instance  $\neg t_2(x_m): x_m: \neg A^{r_1} \rightarrow ?t_2(x_m): \neg t_2(x_m): x_m: \neg A^{r_1}$  of j5 it follows:

$$\mathsf{J5} \vdash \neg t_2(x_m) : x_m : \neg A^{r_1} \to (t_1(x_m) \cdot ? t_2(x_m)) : \neg x_m : \neg A^{r_1} . \tag{15}$$

By a propositional tautology and the Internalization Lemma applied to it,

$$J5 \vdash p_1 : (x_m : \neg A^{r_1} \to \Pi^{r_1} \lor \neg x_m : \neg A^{r_1} \to \Pi^{r_1})$$

for some ground term  $p_1$ . Thus, by app and MP,

$$\mathsf{J5} \vdash t_2(x_m) : x_m : \neg A^{r_1} \to (p_1 \cdot t_2(x_m)) : (\Pi^{r_1} \vee \neg x_m : \neg A^{r_1} \to \Pi^{r_1}) \ .$$

Again by app and propositional reasoning,

$$\mathsf{J5} \vdash t_2(x_m) : x_m : \neg A^{r_1} \to r_1(i) : (\Pi^{r_1} \vee \neg x_m : \neg A^{r_1}) \to (p_1 \cdot t_2(x_m) \cdot r_1(i)) : \Pi^{r_1} ,$$

which is propositionally equivalent to

$$J5 \vdash r_1(i) : (\Pi^{r_1} \lor \neg x_m : \neg A^{r_1}) \to \neg t_2(x_m) : x_m : \neg A^{r_1} \lor s : \Pi^{r_1}$$

for  $s := p_1 \cdot t_2(x_m) \cdot r_1(i)$ . From this and (15) by propositional reasoning we obtain

$$\mathsf{J5} \vdash r_1(i) : (\Pi^{r_1} \vee \neg x_m : \neg A^{r_1}) \to (t_1(x_m) \cdot ? t_2(x_m)) : \neg x_m : \neg A^{r_1} \vee s : \Pi^{r_1} . \tag{16}$$

By the Internalization Lemma for the tautology  $\neg x_m : \neg A^{r_1} \to \Delta^{r_1} \lor \neg x_m : \neg A^{r_1}$  and propositional reasoning, there is a term  $t_3(x)$  such that

$$J5 \vdash r_1(i) : (\Pi^{r_1} \vee \neg x_m : \neg A^{r_1}) \to t_3(t_1(x_m) \cdot ? t_2(x_m)) : (\Delta^{r_1} \vee \neg x_m : \neg A^{r_1}) \vee s : \Pi^{r_1} . (17)$$

Since  $\Delta^{r_1} \to \Delta^{r_1} \vee \neg x_m : \neg A^{r_1}$  is a propositional tautology, by the Internalization Lemma there is a term  $t_4(x)$  such that  $\mathsf{J5} \vdash r_1(k) : \Delta^{r_1} \to t_4(r_1(k)) : (\Delta^{r_1} \vee \neg x_m : \neg A^{r_1})$ . Therefore, by axiom sum,

$$\mathsf{J5} \vdash r_1(k) : \Delta^{r_1} \to t : (\Delta^{r_1} \vee \neg x_m : \neg A^{r_1}) \tag{18}$$

for  $t := t_3(t_1(x_m) \cdot ?t_2(x_m)) + t_4(r_1(k))$ . Similarly, by (17) and sum,

$$\mathsf{J5} \vdash r_1(i) : (\Pi^{r_1} \lor \neg x_m : \neg A^{r_1}) \to t : (\Delta^{r_1} \lor \neg x_m : \neg A^{r_1}) \lor s : \Pi^{r_1} . \tag{19}$$

Finally by propositional reasoning from (18) and (19),

$$\mathsf{J5} \vdash r_1(k) : \Delta^{r_1} \lor r_1(i) : (\Pi^{r_1} \lor \neg x_m : \neg A^{r_1}) \to t : (\Delta^{r_1} \lor \neg x_m : \neg A^{r_1}) \lor s : \Pi^{r_1} .$$

Hence the desired realization function is  $r := (r_1 \upharpoonright \Delta, \Diamond_{2m} A, \Pi) \cup \{(l, t), (j, s)\}.$ 

This completes the proof of the base case of the induction. The induction steps are the same as in Lemma 5.4.

Now we are ready to prove our main result.

**Theorem 5.9 (Realization)** For any modal logic ML and its corresponding justification logic JL we have that  $ML = JL^{\circ}$ .

**Proof.** The inclusion  $JL^{\circ} \subseteq ML$  is easy since forgetful projections of axioms and rules of any justification logic can easily be derived in the corresponding modal logic. So we now turn to the more interesting opposite inclusion. It follows from Theorem 3.1 (Completeness), Lemma 4.5 (Annotating Proofs), and the following **Claim.** Let S be the sequent system for a modal logic ML and let  $\mathcal{P}$  be an annotated proof with the endsequent  $\Delta$  such that the unannotated version of  $\mathcal{P}$  is a sequent calculus proof in S. Then there exists a realization function r on  $\Delta$  such that

We prove the claim by induction on the depth of  $\mathcal{P}$  by case analysis on the lowermost rule.

Case id. The claim follows from Lemma 5.1.

 $JL \vdash \Delta^r$  for the justification logic JL that corresponds to ML.

Cases  $\vee$ - and exch-rules. The claim follows from the induction hypothesis and Lemma 5.4.

Case  $\wedge \frac{\Gamma\{A\} - \Gamma\{B\}}{\Gamma\{A \wedge B\}}$ . By induction hypothesis there exist realization functions

 $r_1$  and  $r_2$  such that  $J \vdash \Gamma\{A\}^{r_1}$  and  $J \vdash \Gamma\{B\}^{r_2}$ . By Lemma 5.2, there exist a realization function r on the conclusion  $\Gamma\{A \land B\}$  and a substitution  $\sigma$  such that  $J \vdash \Gamma\{A\}^{r_1}\sigma \to \Gamma\{B\}^{r_2}\sigma \to \Gamma\{A \land B\}^r$ . By Lemma 4.10,  $J \vdash \Gamma\{A\}^{r_1}\sigma$  and  $J \vdash \Gamma\{B\}^{r_2}\sigma$ , hence,  $J \vdash \Gamma\{A \land B\}^r$ .

Case ctr-rule. The claim follows from the induction hypothesis, Lemma 5.3, and Lemma 4.10 (Substitution).

Case  $\Box$ -rule. The claim immediately follows from the induction hypothesis.

Case k-rule. The claim follows from the induction hypothesis and Lemma 5.5.

Cases for rules in  $\{d, t, b, 4, 5a, 5b, 5c\}$ . The claim follows from the induction hypothesis and Lemma 5.8.

**Remark 5.10** Fitting's Merging Theorem from [13] states a stronger result than used in this paper, namely that the proofs can be made *injective*. An injective proof uses each constant for only one axiom instance. We are confident that the results of this paper can also be extended to injective proofs.

### 6 A Strengthened Realization Theorem for S5 and KB5

We now introduce two new justification logics: JT5 and JB5. The axiom systems for them are obtained from the axiom systems for JT45 and JB45 respectively by removing the operator! from the language and, therefore, dropping j4 and replacing AN! with AN from Remark 2.1. Note that, although S5 = KT5 = KT45 and KB5 = KB45, obviously JT5  $\neq$  JT45 and JB5  $\neq$  JB45 simply because the languages are different. The proof of the Internalization Lemma relies on the AN!-rule, which is not admissible in either JT5 or JB5. Thus, we need to show the existence of a term dpi(x) that plays the role of !x for these two logics, where dpi stands for derived positive introspection.

**Lemma 6.1 (Positive Introspection in JB5 and JT5)** There is a term dpi(x) such that for any term t and any formula A

$$\mathsf{JB5} \vdash t : A \to \mathrm{dpi}(t) : t : A$$
 and  $\mathsf{JT5} \vdash t : A \to \mathrm{dpi}(t) : t : A$ .

**Proof.** Since j5 is an axiom of JB5, by AN there exists a constant  $c_i$  such that

$$\mathsf{JB5} \vdash c_i : (\neg y : P \to ? y : \neg y : P) \tag{20}$$

for some proposition P and variable y. It can be shown using AN, app, and propositional reasoning that there exists a ground term p such that

$$\mathsf{JB5} \vdash p : \big( (\neg y : P \to ? \, y : \neg y : P) \to \neg \, ? \, y : \neg y : P \to y : P \big) \ .$$

From this and (20) by app and MP, we have JB5  $\vdash (p \cdot c_i) : (\neg? y : \neg y : P \rightarrow y : P)$ . Again by app and MP, we have JB5  $\vdash ?? y : \neg? y : \neg y : P \rightarrow (p \cdot c_i \cdot ?? y) : y : P$ . Since

$$y: P \to ??y: \neg?y: \neg y: P \tag{21}$$

is an instance of axiom jb, by propositional reasoning

$$\mathsf{JB5} \vdash y : P \to \mathrm{dpi}(y) : y : P \ . \tag{22}$$

for  $\operatorname{dpi}(y) := p \cdot c_i \cdot ??y$ . We now show that (21) is provable in JT5. Indeed,  $\neg ?y : \neg y : P \rightarrow ??y : \neg y : P$  is an instance of j5. Hence, (21) follows by syllogism with  $y : P \rightarrow \neg ?y : \neg y : P$ , which is a contraposition of an instance of jt. Thus, (22) also holds if JB5 is replaced with JT5. The statement of the lemma for either logic now follows from (22) by the Substitution Lemma, which also holds for these logics.  $\square$ 

Because of Lemma 6.1, using dpi(t) instead of ! t we can adapt the standard proof of the Internalization Lemma to JT5 and JB5. As a consequence, Theorem 4.12 as well as Lemmas 5.1, 5.2, 5.3, 5.4, 5.5, and 5.8 also hold for JT5 and JB5. The proofs apply literally except that in the case of the 4-rule in Lemma 5.8, we use Lemma 6.1 instead of axiom j4.

It follows from the Realization Theorem for JT45 and JB45 that  $JT5^{\circ} \subseteq S5$  and  $JB5^{\circ} \subseteq KB5$ . The opposite inclusions can be shown by literally repeating the proof of the Realization Theorem.

Theorem 6.2 (Strengthened Realization)  $S5 = JT5^{\circ}$  and  $KB5 = JB5^{\circ}$ .

#### 7 Conclusion

We have used cut-free nested sequent systems to constructively realize each of our 15 modal logics. In doing so, we have reproved in a uniform way several known realization theorems and have realized logics that did not have justification counterparts before. For two logics, we have also shown that the positive introspection operation is superfluous.

For now we have realized these *logics*. However, some of them have more than one *axiomatization*. Justification counterparts of different axiomatizations of the same modal logic can be different. Thus, it is a natural next step for us to try to obtain realizations for all the 32 different axiomatizations of these 15 logics. We believe that nested sequent systems with *structural modal rules* [9,10], which are *modular* in a certain sense, will allow us to do this.

Another direction for future research is to look for cut-free proof systems for all our justification logics. Currently many justification logics lack such proof systems, and the problems in obtaining them seem to be the same as for modal logics. Nested sequents have provided cut-free proof systems for all our modal logics, and thus we believe they can also provide cut-free proof systems for justification logics.

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#### Brünnler, Goetschi, and Kuznets

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### A The Proof of Lemma 5.3 (Contraction)

**Proof.** By induction on the build-up of  $\Gamma\{\}$ . In order to demonstrate the base case  $\Gamma\{\} = \{\}$  a subinduction on the build-up of the common unannotated version A of formulas  $A_1$ ,  $A_2$ , and  $A_3$  is employed. The statement proved by subinduction is the same as in the main induction with an extra restriction on  $\sigma$ , namely that  $\operatorname{vrange}(\sigma) \subseteq \operatorname{diavars}(\Omega)$ .

**Subinduction base:** A = P or  $A = \neg P$ . The identity substitution  $\sigma$  and  $r := \emptyset$  suffice.

To prove the step of the subinduction the following cases have to be considered: **Subinduction case**  $A = B \vee C$ . The annotated formulas  $A_1 = B_1 \vee C_1$ ,  $A_2 = B_2 \vee C_2$ , and  $A_3 = B_3 \vee C_3$  do not share indices. By subinduction hypothesis, there exist realization functions  $r'_B$  on  $B_3$  and  $r'_C$  on  $C_3$ , as well as substitutions  $\sigma_B$  with  $dom(\sigma_B) \subseteq diavars(B_1 \vee B_2)$  and  $\sigma_C$  with  $dom(\sigma_C) \subseteq diavars(C_1 \vee C_2)$  such that

$$J \vdash (B_1 \lor B_2)^{r_1} \sigma_B \to (B_3)^{r'_B}$$
 and  $J \vdash (C_1 \lor C_2)^{r_1} \sigma_C \to (C_3)^{r'_C}$ .

Also, we have that  $\operatorname{vrange}(\sigma_B) \subseteq \operatorname{diavars}(B_3)$  and  $\operatorname{vrange}(\sigma_C) \subseteq \operatorname{diavars}(C_3)$ . By Lemma 4.11,  $\sigma := \sigma_B \cup \sigma_C$  is a substitution with  $\operatorname{dom}(\sigma) \subseteq \operatorname{diavars}(\Lambda)$ . Further, for restrictions  $r_B := r'_B \upharpoonright B_3$  and  $r_C := r'_C \upharpoonright C_3$ , both  $\sigma_C \circ r_B$  and  $\sigma_B \circ r_C$  are realization functions on  $B_3$  and  $C_3$  respectively. Since  $(B_3)^{r'_B} = (B_3)^{r_B}$  and  $(C_3)^{r'_C} = (C_3)^{r_C}$ , by Lemma 4.10

$$\mathsf{J} \vdash (B_1 \lor B_2)^{r_1} \sigma_B \sigma_C \to (B_3)^{r_B} \sigma_C \qquad \text{and} \qquad \mathsf{J} \vdash (C_1 \lor C_2)^{r_1} \sigma_C \sigma_B \to (C_3)^{r_C} \sigma_B \ .$$

Note that  $\sigma_C$  has no effect on any term  $\sigma_B(x) \in \text{range}(\sigma_B)$  because  $\sigma_B(x)$  only contains variables from diavars $(B_3)$ , which is disjoint from diavars $(C_1 \vee C_2) \supseteq \text{dom}(\sigma_C)$ . Thus,  $(B_1 \vee B_2)^{r_1} \sigma_B \sigma_C = (B_1 \vee B_2)^{r_1} \sigma$ . Similarly,  $(C_1 \vee C_2)^{r_1} \sigma_C \sigma_B = (C_1 \vee C_2)^{r_1} \sigma$ . From this and Lemma 4.11 it follows that

$$J \vdash (B_1 \lor B_2)^{r_1} \sigma \to (B_3)^{\sigma_C \circ r_B}$$
 and  $J \vdash (C_1 \lor C_2)^{r_1} \sigma \to (C_3)^{\sigma_B \circ r_C}$ .

Finally, by propositional reasoning,

$$\mathsf{J} \vdash \big( (B_1 \lor C_1) \lor (B_2 \lor C_2) \big)^{r_1} \sigma \ \to \ (B_3)^{\sigma_C \circ r_B} \lor (C_3)^{\sigma_B \circ r_C} \ .$$

In other words,  $J \vdash \Lambda^{r_1} \sigma \to \Omega^r$  for  $r := (\sigma_C \circ r_B) \cup (\sigma_B \circ r_C)$ , which by Lemma 4.11 is a realization function on  $\Omega = B_3 \vee C_3$ .

**Subinduction case**  $A = B \wedge C$  is analogous to  $B \vee C$ .

**Subinduction case**  $A = \diamondsuit B$ . The annotated formulas  $A_1 = \diamondsuit_{2k} B_1$ ,  $A_2 = \diamondsuit_{2m} B_2$ , and  $A_3 = \diamondsuit_{2n} B_3$  do not share indices. By induction hypothesis, there are a realization function  $r_B'$  on  $B_3$  and a substitution  $\sigma_B$  with  $\text{dom}(\sigma_B) \subseteq \text{diavars}(B_1 \vee B_2)$  such that  $\mathsf{J} \vdash (B_1 \vee B_2)^{r_1} \sigma_B \to (B_3)^{r_B'}$ . In addition,  $\text{vrange}(\sigma_B) \subseteq \text{diavars}(B_3)$ . By propositional reasoning,

$$\mathsf{J} \vdash \neg (B_3)^{r_B'} \ \rightarrow \ \neg (B_1)^{r_1} \sigma_B \qquad \text{and} \qquad \mathsf{J} \vdash \neg (B_3)^{r_B'} \ \rightarrow \ \neg (B_2)^{r_1} \sigma_B \ .$$

By the Internalization Lemma, there exist terms  $t_1(y)$  and  $t_2(y)$  such that

$$J \vdash x_n : \neg (B_3)^{r_B'} \to t_1(x_n) : \neg (B_1)^{r_1} \sigma_B \text{ and } J \vdash x_n : \neg (B_3)^{r_B'} \to t_2(x_n) : \neg (B_2)^{r_1} \sigma_B$$
.

It then follows by propositional reasoning that

$$\mathsf{J} \vdash \neg t_1(x_n) : \neg (B_1)^{r_1} \sigma_B \lor \neg t_2(x_n) : \neg (B_2)^{r_1} \sigma_B \to \neg x_n : \neg (B_3)^{r_B'} . \tag{A.1}$$

Since  $dom(\sigma_B) \subseteq diavars(B_1 \vee B_2) \not\ni x_n$ , the substitution  $\sigma_B$  does not affect  $x_n$  and, hence, (A.1) is identical to

$$J \vdash (\neg t_1(x_n) : \neg (B_1)^{r_1} \lor \neg t_2(x_n) : \neg (B_2)^{r_1}) \sigma_B \to \neg x_n : \neg (B_3)^{r'_B}$$
.

Let  $\sigma' := \{(x_k, t_1(x_n)), (x_m, t_2(x_n))\} \cup \{(x_i, x_i) \mid i \notin \{k, m\}\}$ . By Lemma 4.10,

$$\mathsf{J} \vdash \left(\neg t_1(x_n) : \neg (B_1)^{r_1} \lor \neg t_2(x_n) : \neg (B_2)^{r_1}\right) \sigma_B \sigma' \to \neg x_n : \neg (B_3)^{r_B'} \sigma' . \tag{A.2}$$

Again let  $r_B := r'_B \upharpoonright B_3$ . Since 2k and 2m do not occur in  $B_3$ ,  $\sigma' \circ r_B$  is a realization function on  $B_3$  by Lemma 4.11. Let  $r := (\sigma' \circ r_B) \cup \{(2n, x_n)\}$ . Clearly, it is a realization function on  $\diamondsuit_{2n}B_3$ . Since  $\sigma_B$  affects neither  $x_k$  nor  $x_m$ , (A.2) becomes

$$\mathsf{J} \vdash (\diamondsuit_{2k}B_1 \lor \diamondsuit_{2m}B_2)^{r_1}\sigma \to (\diamondsuit_{2n}B_3)^r$$

for  $\sigma := \sigma' \circ \sigma_B$ . In other words,  $J \vdash \Lambda^{r_1} \sigma \to \Omega^r$ . It remains to note that, by Lemma 4.11,  $\operatorname{dom}(\sigma) \subseteq \operatorname{dom}(\sigma_B) \cup \operatorname{dom}(\sigma') \subseteq \operatorname{diavars}(\diamondsuit_{2k} B_1 \vee \diamondsuit_{2m} B_2)$  and  $\operatorname{vrange}(\sigma) \subseteq \operatorname{diavars}(B_3) \cup \{x_n\} = \operatorname{diavars}(\diamondsuit_{2n} B_3)$ .

**Subinduction case**  $A = \Box B$ . The annotated formulas  $A_1 = \Box_k B_1$ ,  $A_2 = \Box_l B_2$ , and  $A_3 = \Box_m B_3$  do not share indices. By induction hypothesis, there exist a realization function  $r_B$  on  $B_3$  and a substitution  $\sigma$  with  $\text{dom}(\sigma) \subseteq \text{diavars}(B_1 \vee B_2)$  such that  $\mathsf{J} \vdash (B_1 \vee B_2)^{r_1} \sigma \to (B_3)^{r_B}$ . In addition,  $\text{vrange}(\sigma) \subseteq \text{diavars}(B_3)$ . By propositional reasoning and the Internalization Lemma, there exist terms  $t_1(y)$  and  $t_2(y)$  such that

$$J \vdash r_1(k)\sigma : (B_1)^{r_1}\sigma \to t_1(r_1(k)\sigma) : (B_3)^{r_B} ,$$

$$J \vdash r_1(l)\sigma : (B_2)^{r_1}\sigma \to t_2(r_1(l)\sigma) : (B_3)^{r_B} .$$

By axiom sum, for  $s := t_1(r_1(k)\sigma) + t_2(r_1(l)\sigma)$ ,

$$J \vdash r_1(k)\sigma : (B_1)^{r_1}\sigma \to s : (B_3)^{r_B}$$
 and  $J \vdash r_1(l)\sigma : (B_2)^{r_1}\sigma \to s : (B_3)^{r_B}$ .

Thus, by propositional reasoning,

$$J \vdash (\Box_k B_1 \lor \Box_l B_2)^{r_1} \sigma \to (\Box_m B_3)^r$$

for  $r := (r_B \upharpoonright B_3) \cup \{(m, s)\}$ . It is clear that r is a realization function on  $\square_m B_3$ . This completes the proof by subinduction of the base case  $\Gamma\{\} = \{\}$ .

**Induction step.** By induction hypothesis, there exist a realization function r on  $\Sigma\{A_3\}$  and a substitution  $\sigma$  with  $dom(\sigma) \subseteq diavars(\Sigma\{A_1, A_2\})$  such that

$$J \vdash \Sigma \{A_1, A_2\}^{r_1} \sigma \rightarrow \Sigma \{A_3\}^r$$
.

Case  $\Gamma\{\} = [\Sigma\{\}]_k$ . By the Internalization Lemma,

$$\mathsf{J} \vdash r_1(k)\sigma : \left(\Sigma\{A_1, A_2\}^{r_1}\sigma\right) \to t(r_1(k)\sigma) : \Sigma\{A_3\}^r$$

for some term t(x). In other words, the desired result

$$\mathsf{J} \vdash \big( [\Sigma\{A_1, A_2\}]_k \big)^{r_1} \sigma \rightarrow t \big( r_1(k) \sigma \big) : \Sigma\{A_3\}^r ,$$

is achieved for a realization function  $r' := (r \upharpoonright \Sigma\{A_3\}) \cup \{(k, t(r_1(k)\sigma))\}$  and the same substitution  $\sigma$ .

Case  $\Gamma\{\} = \Delta, \Sigma\{\}, \Pi$ . By propositional reasoning,

$$\mathsf{J} \vdash \Delta^{r_1} \sigma \vee \Sigma \{A_1, A_2\}^{r_1} \sigma \vee \Pi^{r_1} \sigma \ \to \ \Delta^{r_1} \sigma \vee \Sigma \{A_3\}^r \vee \Pi^{r_1} \sigma \ .$$

Since  $\operatorname{dom}(\sigma) \subseteq \operatorname{diavars}(\Sigma\{A_1, A_2\})$ , by Lemma 4.11,  $\sigma \circ (r_1 \upharpoonright \Delta, \Pi)$  is a realization function on  $\Delta, \Pi$ . Then for  $r' := (\sigma \circ (r_1 \upharpoonright \Delta, \Pi)) \cup (r \upharpoonright \Sigma\{A_3\})$ ,

$$\mathsf{J} \vdash (\Delta \vee \Sigma \{A_1, A_2\} \vee \Pi)^{r_1} \sigma \rightarrow (\Delta \vee \Sigma \{A_3\} \vee \Pi)^{r'}.$$

It remains to apply Lemma 4.13 to obtain the desired result

$$\mathsf{J} \vdash (\Delta, \Sigma\{A_1, A_2\}, \Pi)^{r_1} \sigma \rightarrow (\Delta, \Sigma\{A_3\}, \Pi)^{r'}$$

for the realization function r' and the same substitution  $\sigma$ .

Note that induction steps never alter  $\sigma$ .

### B The Cases for the 5a and 5c Rules in Lemma 5.8

**Subcase**  $\rho = 5a$ . By a propositional tautology  $\Delta^{r_1} \to \Delta^{r_1} \vee \neg x_m : \neg A^{r_1}$  and the Internalization Lemma there exists a term  $t_1(x)$  such that

$$\mathsf{J5} \vdash r_1(k) : \Delta^{r_1} \to t_1(r_1(k)) : (\Delta^{r_1} \vee \neg x_m : \neg A^{r_1}) . \tag{B.1}$$

Similarly, for a tautology  $\neg x_m : \neg A^{r_1} \to \Delta^{r_1} \vee \neg x_m : \neg A^{r_1}$  there is  $t_2(y)$  such that

$$J5 \vdash ?x_m : \neg x_m : \neg A^{r_1} \to t_2(?x_m) : (\Delta^{r_1} \vee \neg x_m : \neg A^{r_1})$$
.

From an instance  $\neg x_m : \neg A^{r_1} \to ?x_m : \neg x_m : \neg A^{r_1}$  of j5 by propositional reasoning

$$\mathsf{J5} \vdash \neg x_m : \neg A^{r_1} \to t_2(? x_m) : (\Delta^{r_1} \vee \neg x_m : \neg A^{r_1}) \ . \tag{B.2}$$

It follows from (B.1) and (B.2) by axiom sum and propositional reasoning that

$$\mathsf{J5} \vdash r_1(k) : \Delta^{r_1} \vee \neg x_m : \neg A^{r_1} \to t : (\Delta^{r_1} \vee \neg x_m : \neg A^{r_1}).$$

for  $t := t_1(r_1(k)) + t_2(?x_m)$ . In other words,

$$J5 \vdash ([\Delta]_k, \diamondsuit_{2m}A)^{r_1} \rightarrow ([\Delta, \diamondsuit_{2m}A]_i)^r$$

for 
$$r := (r_1 \upharpoonright \Delta, \diamondsuit_{2m} A) \cup \{(i, t)\}.$$

**Subcase**  $\rho = 5c$ . The existence of terms  $t_1(x_m)$ ,  $t_2(x_m)$ , and s that satisfy (16) follows as in the subcase of  $\rho = 5b$ . Thus, by propositional reasoning,

$$\mathsf{J5} \vdash \Delta^{r_1} \lor r_1(i) : (\Pi^{r_1} \lor \neg x_m : \neg A^{r_1}) \to \Delta^{r_1} \lor (t_1(x_m) \cdot ? t_2(x_m)) : \neg x_m : \neg A^{r_1} \lor s : \Pi^{r_1}.$$

By the Internalization Lemma there exists a term  $s_1(x)$  such that

$$J5 \vdash r_1(k) : \left(\Delta^{r_1} \lor r_1(i) : (\Pi^{r_1} \lor \neg x_m : \neg A^{r_1})\right) \to s_1(r_1(k)) : \left(\Delta^{r_1} \lor q_1 : \neg x_m : \neg A^{r_1} \lor s : \Pi^{r_1}\right) , (B.3)$$

where  $q_1 := t_1(x_m) \cdot ?t_2(x_m)$  in the above formula. By Lemma 5.6 there exists a term t(x) such that

$$\mathsf{J5} \vdash t(q_1) : (q_1 : \neg x_m : \neg A^{r_1} \to \neg x_m : \neg A^{r_1}) . \tag{B.4}$$

By a propositional tautology and the Internalization Lemma applied to it,

$$\mathsf{J5} \vdash p_2 : \left( \left( q_1 : \neg x_m : \neg A^{r_1} \to \neg x_m : \neg A^{r_1} \right) \to \Delta^{r_1} \lor q_1 : \neg x_m : \neg A^{r_1} \lor s : \Pi^{r_1} \to \Delta^{r_1} \lor \neg x_m : \neg A^{r_1} \lor s : \Pi^{r_1} \right)$$

for some ground term  $p_2$ . From this and (B.4) by app and MP it follows that

$$\mathsf{J5} \vdash (p_2 \cdot t(q_1)) : \left(\Delta^{r_1} \vee q_1 : \neg x_m : \neg A^{r_1} \vee s : \Pi^{r_1} \to \Delta^{r_1} \vee \neg x_m : \neg A^{r_1} \vee s : \Pi^{r_1}\right) \ .$$

It follows by app and MP that

$$\mathsf{J5} \vdash s_1(r_1(k)) : (\Delta^{r_1} \lor q_1 : \neg x_m : \neg A^{r_1} \lor s : \Pi^{r_1}) \to q_3 : (\Delta^{r_1} \lor \neg x_m : \neg A^{r_1} \lor s : \Pi^{r_1})$$

for  $q_3 := p_2 \cdot t(q_1) \cdot s_1(r_1(k))$ . By propositional reasoning with (B.3) it follows that

$$\mathsf{J5} \vdash r_1(k) : \left(\Delta^{r_1} \lor r_1(i) : (\Pi^{r_1} \lor \neg x_m : \neg A^{r_1})\right) \to q_3 : \left(\Delta^{r_1} \lor \neg x_m : \neg A^{r_1} \lor s : \Pi^{r_1}\right) .$$

Hence the desired realization function is  $r := (r_1 \upharpoonright \Delta, \Diamond_{2m} A, \Pi) \cup \{(j, s), (l, q_3)\}.$